

UPDATED REGISTRATION STATEMENT

EXECUTIVE AGENCY LOBBYIST

Commonwealth of Kentucky
EXECUTIVE BRANCH ETHICS COMMISSION
258 Capitol Annex
Frankfort, Kentucky 40601
(502) 564-7954 FAX (502) 564-2686

This statement must be filed with the Executive Branch Ethics Commission by the last day of July. Please read instructions and review KRS 11A.211, 11A.216 and 11A.221 prior to filing. There is no fee for this filing. Upon termination of this engagement, there is an affirmative duty to notify the Executive Branch Ethics Commission within thirty (30) days. ANY PERSON WHO KNOWINGLY FILES A FALSE STATEMENT IS IN VIOLATION OF STATE LAW AND SUBJECT TO FINES AND OTHER PENALTIES.

A. GENERAL INFORMATION

1. Full Name of Executive Agency Lobbyist _____

Executive Agency Lobbyist # _____

Name of Employer(s) _____

2. Based on your Initial Registration Statement or last Updated Registration Statement, state any changes in:

Occupation: _____ or Title _____

Business Address: _____

Street

Suite #

City

State

Zip Code

Telephone

Residential Address: _____

Street

Suite #

City

State

Zip Code

E-Mail Address: _____ FAX _____

(If no changes, check here ☐)

3. Type of Report:

☐ Regular Update Statement for the period July 1 – June 30, 200____
(due July 31)

☐ Amended Statement for the period
of: _____

4. **Terminations:** Do you continue to represent the Employer(s) listed on this form as an executive agency lobbyist?

☐ Yes

☐ No

If no, please list all Employers by whom you are no longer engaged and the date of termination:

EMPLOYER NAME

Date of Termination

5. Based on your Initial Registration Statement or last Updated Registration Statement, state any additions or deletions of Real Party(ies) in Interest:

NAME OF REAL PARTY IN INTEREST	BUSINESS ADDRESS	EMPLOYER	DATE OF ADDITION	DATE OF DELETION

(If no changes, check here ☐)

Instructions for Completing Section B and Section C

If you represent more than one employer, you must complete the third page for each employer you represent. Copy page three of the Updated Registration Statement, Executive Agency Lobbyist and complete the information on a separate sheet in Section B and Section C as it relates to each of your employers. Attach the additional copies of the third page to the Updated Registration Statement.

EMPLOYER:_____EAL NAME & NUMBER:_____

B. EXECUTIVE AGENCY DECISIONS

1. List the specific agency decisions you sought to influence for this Employer during this reporting period.
(Attach additional sheet if necessary)_____

(If none, check here [☐])

2. Since your Initial Registration Statement or last Updated Registration, describe any additional types of executive agency decisions to which this engagement relates:

(If no changes, check here [☐])

3. State any additions to or deletions from the list of elected executive officials, departments or agencies for which you will be influencing agency decisions for this named employer:

(If no changes, check here [☐])

C. EXECUTIVE AGENCY LOBBYIST EXPENDITURES STATEMENT

During this reporting period, if you made expenditures to, or for the benefit of, an elected executive official, any Secretary of a Cabinet listed in KRS 12.250, an executive agency official, or a member of the staff of any of these officials who works for a state agency for which you are registered to influence, provide the following information regarding such expenditures:

Name of Official, Employee or Staff Member of Official	Describe type of Expenditure (Transportation, Lodging, or Meals, etc.) and provide a description of each Meeting, Event or Occasion to which the Expenditure pertains.	Date of Expenditure	Amount of Expenditure
			\$
			\$
			\$
		TOTAL	\$

(If none, check here [☐])

Any Executive Agency Lobbyist who is required to complete Section C shall deliver a copy of the expenditure statement, or the portion showing the expenditures, to the person identified as receiving the benefit of the expenditure, at least ten (10) days before this statement is filed. If a dispute arises, the disputed expenditure does not have to be reported until a final decision by the Commission.

D. FINANCIAL TRANSACTIONS INVOLVING EXECUTIVE AGENCY LOBBYIST

If you, or a member of your immediate family, had, during the reporting period, a financial transaction, with or for the benefit of, an elected executive official, the secretary of a cabinet listed in KRS 12.250, an executive agency official, or any member of the staff of any of these officials above, provide the following information regarding such financial transaction.

1. Name of official, employee or staff member: _____

2. Brief description of the purpose and nature of the transaction:

3. Date transaction was made or entered into: _____

4. Other pertinent details:

(Attach sheet for each additional official, employee, or staff member.)

(If none, check here ☐)

Any Executive Agency Lobbyist who is required to complete Section D shall deliver a copy of the financial transaction statement to the person identified in Section D above at least ten (10) days before this statement is filed. If a dispute arises, the disputed financial transaction does not have to be reported until a final decision by the Commission.

**CERTIFICATION: I CERTIFY THAT THE INFORMATION CONTAINED IN THE
REGISTRATION STATEMENT IS COMPLETE AND ACCURATE.**

Signature of Executive Agency Lobbyist

Date

